

RECENT DEVELOPMENTS
IN
CONCURRENT KLEENE ALGEBRA

IRIS SEMINAR - LONDON

December 2020

Paul Brunet
University College London

CONCURRENT KLEENE ALGEBRA

Concurrent Kleene Algebra

C.A.R. Tony Hoare¹, Bernhard Möller², Georg Struth³, and Ian Wehrman⁴

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² Universität Augsburg, Germany

³ University of Sheffield, UK

⁴ University of Texas at Austin, USA

2009

CKA is introduced.

CONCURRENT KLEENE ALGEBRA

On Locality and the Exchange Law for Concurrent Processes

C.A.R. Hoare¹, Akbar Hussain², Bernhard Möller³, Peter W. O'Hearn²,
Rasmus Lerchedahl Petersen², and Georg Struth⁴

¹ Microsoft Research Cambridge

² Queen Mary University of London

³ Universität Augsburg

⁴ University of Sheffield

2009

2011

CKA is introduced.

Models of CKA are introduced,
and the relationship with separation logic is established.

CONCURRENT KLEENE ALGEBRA

Completeness Theorems for Bi-Kleene Algebras and Series-Parallel Rational Pomset Languages

Michael R. Laurence and Georg Struth

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Concurrent Kleene Algebra with Tests

Peter Jipsen

Chapman University, Orange, California 92866, USA
jipsen@chapman.edu

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Models of CKA are introduced,
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First completeness theorem
(without the exchange law),
CKA with tests is introduced.

CONCURRENT KLEENE ALGEBRA

Concurrent Kleene algebra with tests and branching automata



Peter Jipsen*, M. Andrew Moshier

Chapman University, Orange, CA 92866, USA

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Second paper on CKAT, correcting some mistakes from the first one.

CONCURRENT KLEENE ALGEBRA

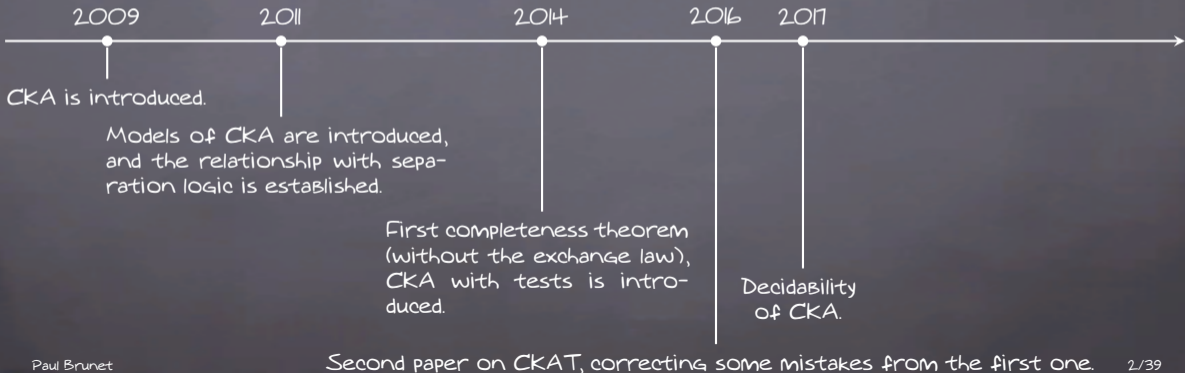
On Decidability of Concurrent Kleene Algebra^{*†}

Paul Brunet¹, Damien Pous², and Georg Struth³

¹ Univ. Lyon, CNRS, ENS de Lyon, UCB Lyon 1, LIP, France

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³ Department of Computer Science, The University of Sheffield, UK

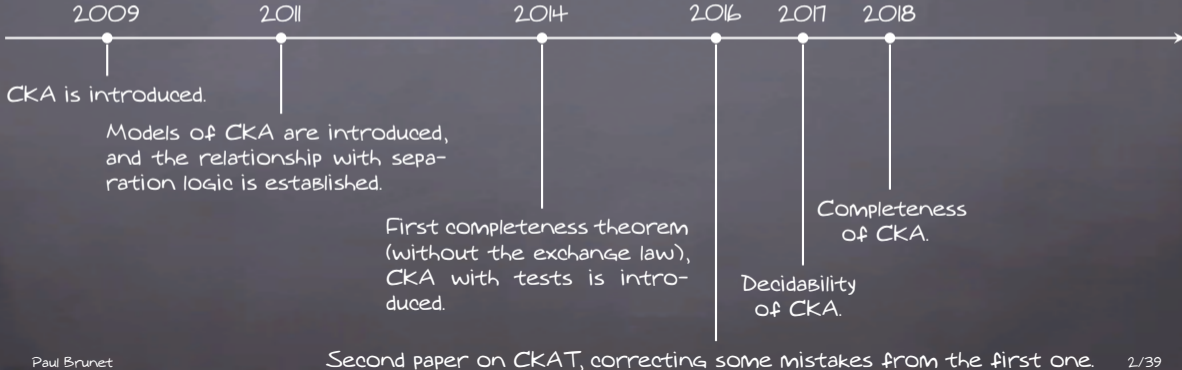


CONCURRENT KLEENE ALGEBRA

Concurrent Kleene Algebra: Free Model and Completeness


Tobias Kappé^(✉), Paul Brunet, Alexandra Silva, and Fabio Zanasi

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CONCURRENT KLEENE ALGEBRA

Concurrent Kleene Algebra with Observations: from Hypotheses to Completeness

Tobias Kappé  (✉), Paul Brunet , Alexandra Silva ,
Jana Wagemaker , and Fabio Zanasi 

University College London, London, United Kingdom; tkappe@cs.ucl.ac.uk

Pomsets with Boxes: Protection, Separation, and Locality in Concurrent Kleene Algebra

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2 Partially Observable Concurrent Kleene Algebra

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Paul Brunet 
University College London

Simon Docherty 
University College London

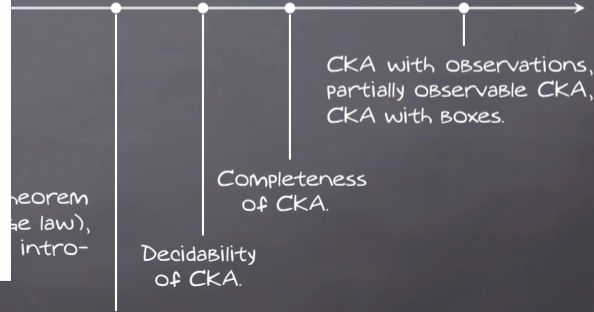
Tobias Kappé 
University College London

Jurriaan Rot
Radboud University, Nijmegen and University College London

Alexandra Silva 
University College London

CKA is

2016 2017 2018 2020



Second paper on CKAT, correcting some mistakes from the first one.

KLEENE ALGEBRA: THE ALGEBRA OF REGULAR EXPRESSIONS

$$e, f \in E_A ::= 0 \mid 1 \mid a \mid e \cdot f \mid e + f \mid e^*$$

Interpretation: regular languages

$$\llbracket \cdot \rrbracket : E_A \rightarrow \mathcal{P}(A^*)$$

example:

$$\begin{aligned} \llbracket a \cdot ((a + b) \cdot a)^* \rrbracket &= \left\{ \begin{array}{l} \text{words of odd length over the} \\ \text{alphabet } \{a, b\} \text{ such that every} \\ \text{other letter is an } a \end{array} \right\} \\ &= \{a, aaa, aba, aaaaa, abaaa, aaaba, ababa, \dots\} \end{aligned}$$

KLEENE ALGEBRA: THE ALGEBRA OF REGULAR EXPRESSIONS

The axioms of KA

$$e + e = e$$

$$e + 0 = 0$$

$$e \cdot 0 = 0 = 0 \cdot e$$

$$e + f = f + e$$

$$e \cdot 1 = e = 1 \cdot e$$

$$e \cdot (f + g) = e \cdot f + e \cdot g$$

$$e^* = 1 + e \cdot e^*$$

$$e + (f + g) = (e + f) + g$$

$$e \cdot (f \cdot g) = (e \cdot f) \cdot g$$

$$(e + f) \cdot g = e \cdot g + f \cdot g$$

$$e \cdot f \leq f \Rightarrow e^* \cdot f \leq f$$

Theorem

$$KA \vdash e = f \Leftrightarrow \llbracket e \rrbracket = \llbracket f \rrbracket.$$

Kozen, "A completeness theorem for Kleene algebras and the algebra of regular events",
LICS '90

KAT: THE ALGEBRA OF IMPERATIVE PROGRAMS

Syntax

$$e, f \in E_{A \cup B_T} ::= 0 \mid 1 \mid a \in A \mid t \in B_T \mid e \cdot f \mid e + f \mid e^*$$
$$t, t_1, t_2 \in B_T ::= \top \mid \perp \mid \alpha \in T \mid t_1 \wedge t_2 \mid t_1 \vee t_2 \mid \neg t$$

Encodes a simple While language:

if b then p else $q \mapsto b \cdot p + \neg b \cdot q$

while b do $p \mapsto (b \cdot p)^* \cdot \neg b$

KAT: THE ALGEBRA OF IMPERATIVE PROGRAMS

Syntax

abort execution

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skip

test

abort execution

atomic action

atomic test

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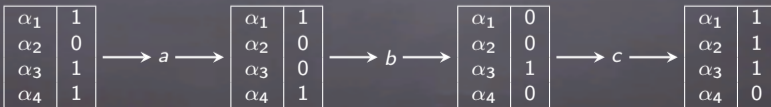
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Interpretation: languages of guarded strings

Guarded strings: alternating sequences of states $\in 2^T$ & actions $\in A$.



KAT: THE ALGEBRA OF IMPERATIVE PROGRAMS

The axioms of KAT:

- ☞ The axioms of KA.
- ☞ For tests, the axioms of Boolean algebra.
- ☞ The following "glue" axioms:

$$t_1 \vee t_2 = t_1 + t_2$$

$$t_1 \wedge t_2 = t_1 \cdot t_2$$

$$\top = 1$$

$$\perp = 0$$

Theorem

$$KAT \vdash e = f \Leftrightarrow \llbracket e \rrbracket = \llbracket f \rrbracket.$$

Kozen & Smith, "Kleene algebra with tests: Completeness and decidability", CSL '96

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Subsumes Hoare logic: $\{b\} p \{c\} \Leftrightarrow b \cdot p \leq p \cdot c$
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Can we do the same for concurrent programs?

OUTLINE

Recent developments in CKA

I. Concurrent Kleene Algebra

II. CKA with observations

III. Partially observable CKA

IV. CKA with boxes

V. Ongoing and future work

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BI-KLEENE ALGEBRA

$$e, f ::= 1 \mid 0 \mid x \mid e \cdot f \mid e \parallel f \mid e + f \mid e^* \mid e^!$$

Definition

A bi-Kleene algebra is a structure $\langle A, 0, 1, \cdot, \parallel, +, *, ! \rangle$ such that:

- 👉 $\langle A, 0, 1, \cdot, +, * \rangle$ is a KA
- 👉 $\langle A, 0, 1, \parallel, +, ! \rangle$ is a commutative KA.

BI-KLEENE ALGEBRA

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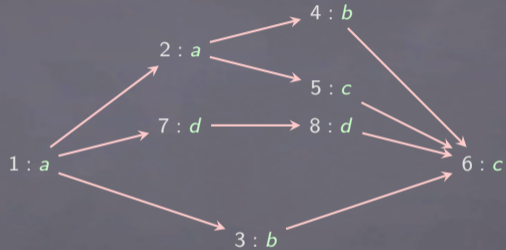
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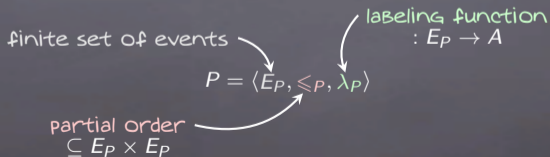
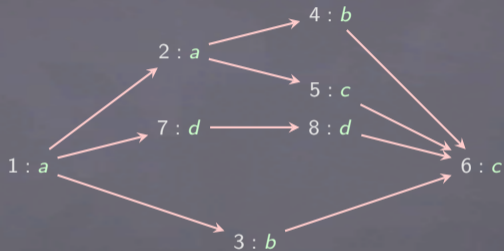
What is the free bi-KA?

POMSETS: CONCURRENT TRACES



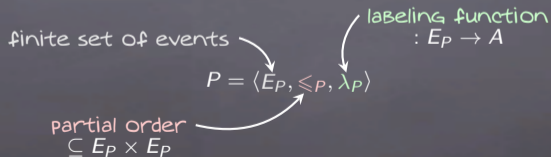
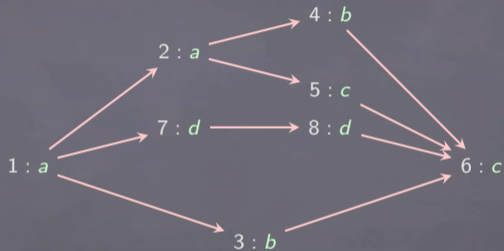
POMSETS: CONCURRENT TRACES

A is some alphabet of actions.



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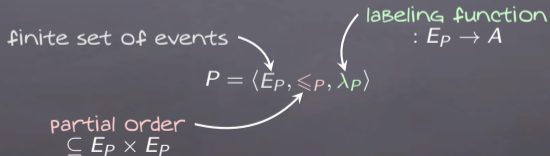
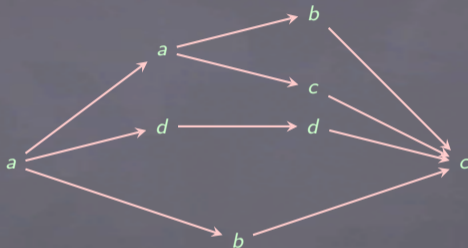
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Up-to isomorphism \equiv .

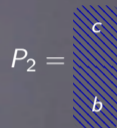
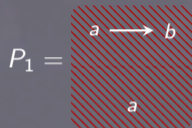
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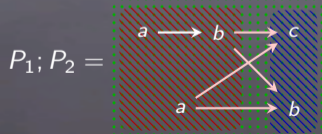
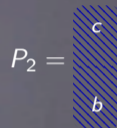
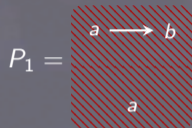


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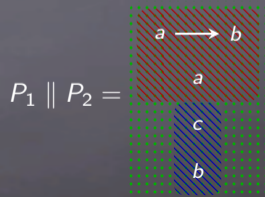
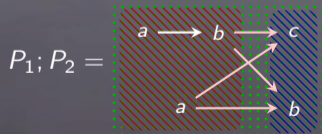
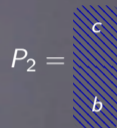
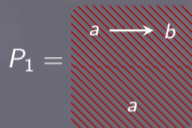
COMBINING POMSETS



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COMPLETENESS OF biKA

$$\llbracket 1 \rrbracket := \{1\}$$

$$\llbracket x \rrbracket := \{x\}$$

$$\llbracket e \cdot f \rrbracket := \{P; Q \mid P \in \llbracket e \rrbracket, Q \in \llbracket f \rrbracket\}$$

$$\llbracket e^* \rrbracket := \{P_1; \dots; P_n \mid n \in \mathbb{N}, P_i \in \llbracket e \rrbracket\}$$

$$\llbracket 0 \rrbracket := \emptyset$$

$$\llbracket e + f \rrbracket := \llbracket e \rrbracket \cup \llbracket f \rrbracket$$

$$\llbracket e \parallel f \rrbracket := \{P \parallel Q \mid P \in \llbracket e \rrbracket, Q \in \llbracket f \rrbracket\}$$

$$\llbracket e^! \rrbracket := \{P_1 \parallel \dots \parallel P_n \mid n \in \mathbb{N}, P_i \in \llbracket e \rrbracket\}$$

Theorem

$$\text{biKA} \vdash e = f \Leftrightarrow \llbracket e \rrbracket \equiv \llbracket f \rrbracket.$$

Laurence & Struth, "Completeness Theorems for Bi-Kleene Algebras and Series-Parallel Rational Pomset Languages", RAMiCS '14

CONCURRENT KLEENE ALGEBRA

Interchange law

$$(a \parallel b) \cdot (c \parallel d) \leq (a \cdot c) \parallel (b \cdot d).$$

CKA

No parallel iteration

A concurrent Kleene algebra is a weak bi-Kleene algebra $\langle A, 0, 1, \cdot, \parallel, +, \star \rangle$ satisfying the interchange law.

INTERLEAVINGS AND SUBSUMPTION

Interchange law

$$(a \parallel b) \cdot (c \parallel d) \leq (a \cdot c) \parallel (b \cdot d).$$



$P \sqsubseteq Q$ when there is a homomorphism from Q to P , i.e. a bijective map $\varphi : E_Q \rightarrow E_P$ such that $\lambda_P \circ \varphi = \lambda_Q$ and $\varphi(\leq_Q) \subseteq \leq_P$.

$$L^{\sqsubseteq} := \{P \mid \exists Q \in L : P \sqsubseteq Q\}.$$

COMPLETENESS AND DECIDABILITY OF CKA

Theorem

The problem of testing whether two given expressions e, f denote the same closed language is ExpSpace-complete.

B., Pous, & Struth, "On Decidability of Concurrent Kleene Algebra", CONCUR '17

Theorem

$$CKA \vdash e = f \Leftrightarrow \llbracket e \rrbracket^{\mathbb{E}} = \llbracket f \rrbracket^{\mathbb{E}}.$$

Kappé, B., Silva, & Zanasi, "Concurrent Kleene Algebra: Free Model and Completeness", ESOP '18

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III. Partially observable CKA

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CKAT

Slogan

A KAT is a KA with a Boolean sub-algebra.

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CKAT

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$$\begin{aligned} t \cdot p \cdot \neg t &\leq p \parallel (t \cdot \neg t) && \text{(CKA axioms)} \\ &= p \parallel (t \wedge \neg t) && (\wedge = \cdot) \\ &= p \parallel \perp && \text{(Boolean axioms)} \\ &= p \parallel 0 && (\perp = 0) \\ &= 0 && \text{(CKA axioms)} \end{aligned}$$

CKAT: DOOMED!

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↔ For every program and every assertion, the triple $\{t\} p \{t\}$ holds.

↔ Every test is invariant under every program.

WHO'S TO BLAME?

$$\begin{aligned} t \cdot p \cdot \neg t &\leq p \parallel (t \cdot \neg t) && \text{(CKA axioms)} \\ &= p \parallel (t \wedge \neg t) && (\wedge = \cdot) \\ &= p \parallel \perp && \text{(Boolean axioms)} \\ &= p \parallel 0 = 0 && (\perp = 0 + \text{CKA axioms}) \end{aligned}$$

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(CKA axioms)

$(\wedge = \cdot)$

(Boolean axioms)

($\perp = 0$ + CKA axioms)

$$a \wedge b = a \cdot b$$

"If we observe a , and then observe b without any action in between, then both observations are made on the same state. Therefore that state simultaneously satisfies a and b ."

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(Boolean axioms)

($\perp = 0$ + CKA axioms)

$$\cancel{a \wedge b = a \cdot b}$$

"If we observe a , and then observe b without any action in between, then both observations are made on the same state. Therefore that state simultaneously satisfies a and b ."

$$\boxed{a \wedge b \leq a \cdot b}$$

CKAO - SYNTAX

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The axioms of CKAO

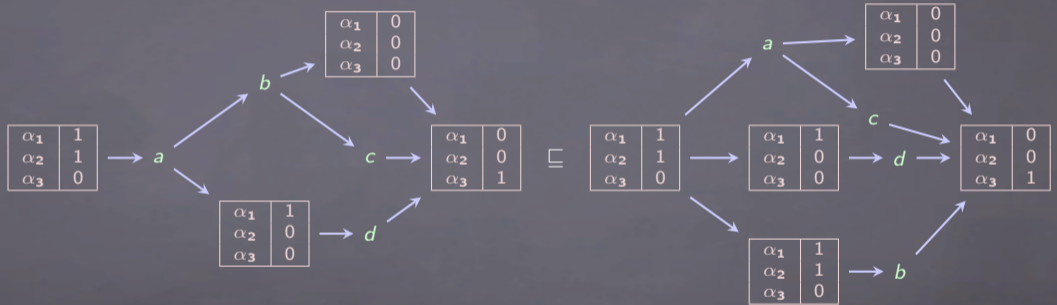
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- 👉 The following "glue" axioms:

$$t_1 \vee t_2 = t_1 + t_2$$

$$t_1 \wedge t_2 \leq t_1 \cdot t_2$$

$$\perp = 0$$

CKAO - MODEL



Theorem

$$CKAO \vdash e = f \Leftrightarrow \llbracket e \rrbracket_{\downarrow} = \llbracket f \rrbracket_{\downarrow}$$

INTERLUDE: (C)KA WITH HYPOTHESES

H : set of hypotheses $e \leq f$ over some fixed alphabet A .

☞ extra structure on the alphabet (e.g. $\alpha \wedge \beta = \beta \wedge \alpha$);

☞ extra structure on traces (e.g. $\alpha \leq \alpha \cdot \alpha$)

☞ other domain-specific assumptions.

Theorem

$$CKA + H \vdash e = f \Rightarrow \llbracket e \rrbracket \downarrow^H = \llbracket f \rrbracket \downarrow^H$$

☞ Doumane, Kuperberg, Pous, † Pradic, "Kleene Algebra with Hypotheses", FoSSaCS '19


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LITMUS TEST: SEQUENTIAL CONSISTENCY

```
{ r0 == 0 && r1 == 0 }
```

```
x := 1   ||   y := 1  
r0 := y  ||   r1 := x
```

```
{ !( r0 == 1 || r1 == 1 ) }
```

Ingredients:

👉 Assignments $x \leftarrow 1$

👉 Observations $r_0 = 0$

WHAT KIND OF OBSERVATIONS DO WE NEED?

First attempt: Boolean algebra

☞ Atomic observations: $V_{AR} == V_{AL}$

e.g. $r_0 == 1$

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r_0	1
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e.g.

r_0	1
r_1	0

☞ Assignments: $\sum_{s \in State} s \cdot (v \leftarrow n) \cdot s[v \mapsto n]$, i.e.

$$[[x \leftarrow 1]] := \left\{ \begin{array}{|c|c|} \hline x & 0 \\ \hline y & 0 \\ \hline \end{array} \rightarrow [x \leftarrow 1] \rightarrow \begin{array}{|c|c|} \hline x & 1 \\ \hline y & 0 \\ \hline \end{array}, \begin{array}{|c|c|} \hline x & 0 \\ \hline y & 1 \\ \hline \end{array} \rightarrow [x \leftarrow 1] \rightarrow \begin{array}{|c|c|} \hline x & 1 \\ \hline y & 1 \\ \hline \end{array} \right\}$$

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Problem: parallel composition?

$$\begin{array}{|c|c|} \hline x & 0 \\ \hline y & 0 \\ \hline \end{array} \rightarrow [x \leftarrow 1] \rightarrow \begin{array}{|c|c|} \hline x & 1 \\ \hline y & 0 \\ \hline \end{array}$$



$$\begin{array}{|c|c|} \hline x & 0 \\ \hline y & 0 \\ \hline \end{array} \rightarrow [y \leftarrow 1] \rightarrow \begin{array}{|c|c|} \hline x & 0 \\ \hline y & 1 \\ \hline \end{array}$$

ALGEBRA OF PARTIAL OBSERVATIONS

Idea: Instead of memory state $V_{AR} \rightarrow V_{AL}$, consider partial functions $V_{AR} \rightarrow V_{AL}$.

PCDL of observations

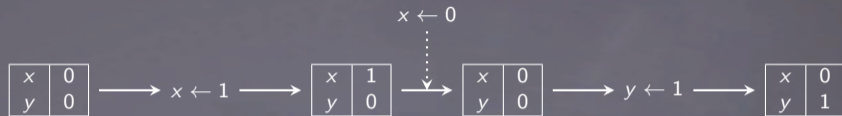
$$t, t_1, t_2 \in O_T ::= T \mid \perp \mid \alpha \in T \mid t_1 \wedge t_2 \mid t_1 \vee t_2 \mid \bar{t}$$

Same axioms as BA regarding \vee, \wedge, T, \perp , plus:

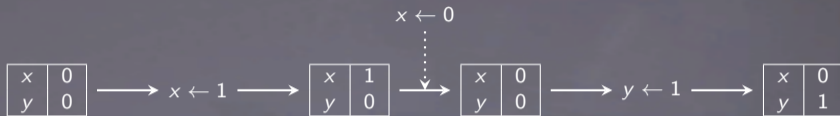
$$\text{☞ } p \leq \bar{q} \Leftrightarrow p \wedge q = \perp$$

$$\text{☞ } \overline{v = n} = \bigvee_{m \neq n} v = m$$

CAUSALITY VS COMPOSITIONALITY



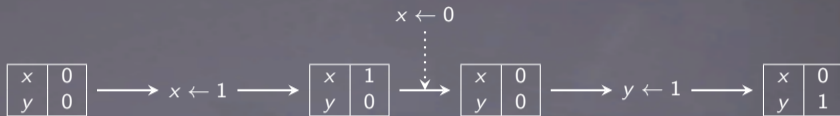
CAUSALITY VS COMPOSITIONALITY



Solution: we need to explicitly close the system.

$$[[e]] \rightarrow [[e]] \cap \text{CausalPomsets}.$$

CAUSALITY VS COMPOSITIONALITY



Solution: we need to explicitly close the system.

$$\llbracket e \rrbracket \rightarrow \llbracket e \rrbracket \cap \text{CausalPomsets}.$$

Litmus test:

$$t := (r_0 = 0 \wedge r_1 = 0) \cdot ((x \leftarrow 1 \cdot r_0 \leftarrow y) \parallel (y \leftarrow 1 \cdot r_1 \leftarrow x)) \cdot \overline{(r_0 = 1 \vee r_1 \vee 1)}$$

$$\llbracket t \rrbracket \cap \text{CausalPomsets} = \emptyset$$

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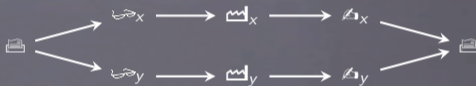
MUTUAL EXCLUSION

```
print(counter);  
||  
x:=counter;    y:=counter;  
x:=x+1;        y:=y+1;  
counter:=x;    counter:=y;  
||  
print(counter);
```



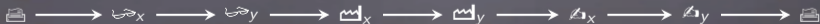
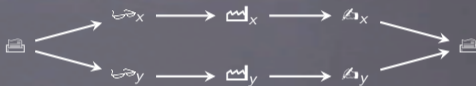
MUTUAL EXCLUSION

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x:=counter;    y:=counter;  
x:=x+1;        y:=y+1;  
counter:=x;    counter:=y;  
||  
print(counter);
```



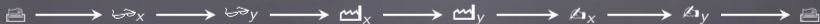
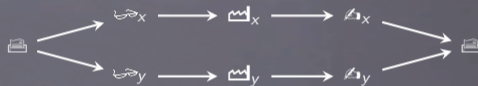
MUTUAL EXCLUSION

```
print(counter);  
||  
x:=counter;    y:=counter;  
x:=x+1;        y:=y+1;  
counter:=x;    counter:=y;  
||  
print(counter);
```



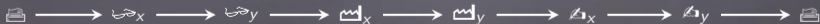
MUTUAL EXCLUSION

```
    print(counter);  
atomic{                               || atomic{  
    x:=counter;                         y:=counter;  
    x:=x+1;                             y:=y+1;  
    counter:=x;                         counter:=y;  
}                                       }  
    print(counter);
```



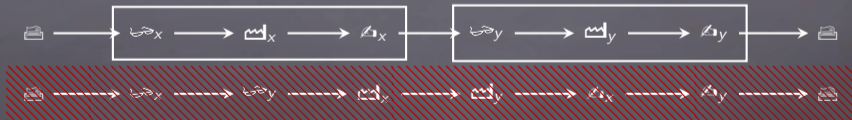
MUTUAL EXCLUSION

```
    print(counter);  
atomic{                               |                               atomic{  
  x:=counter;                          y:=counter;  
  x:=x+1;                               y:=y+1;  
  counter:=x;                           counter:=y;  
}                                       |                               }  
    print(counter);
```

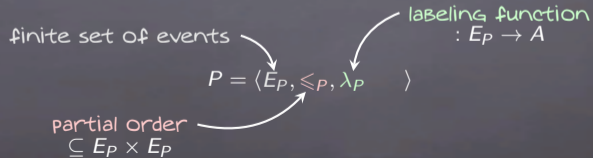
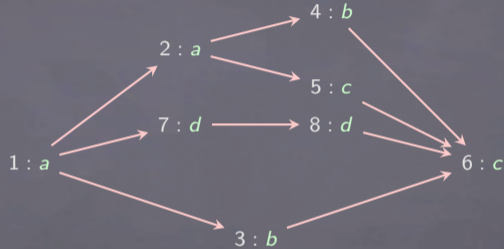


MUTUAL EXCLUSION

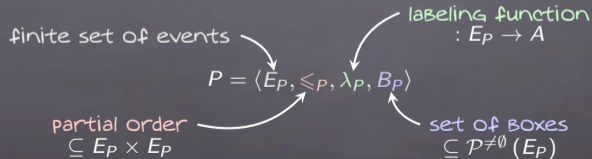
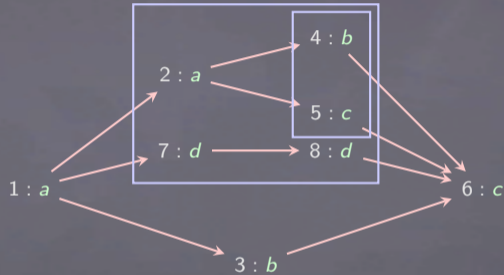
```
print(counter);  
atomic{  
  x:=counter;  
  x:=x+1;  
  counter:=x;  
}  
|  
atomic{  
  y:=counter;  
  y:=y+1;  
  counter:=y;  
}  
print(counter);
```



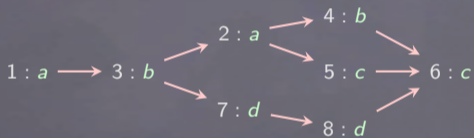
POMSETS WITH BOXES



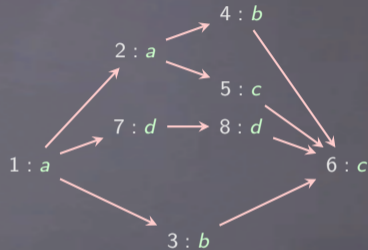
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SUBSUMPTION WITH BOXES



\sqsubseteq

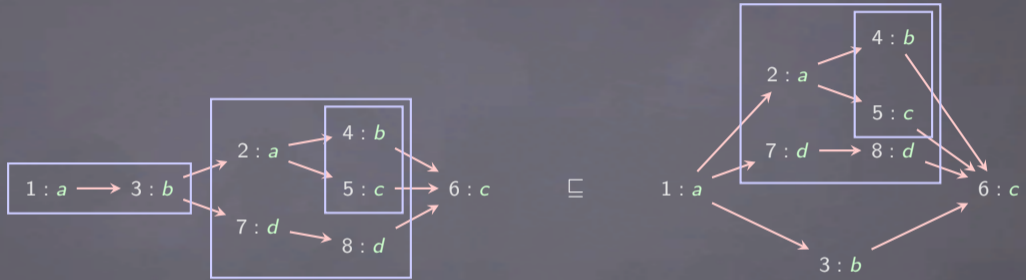


$P \sqsubseteq Q$ when there is a homomorphism from Q to P , i.e. a bijective map $\varphi: E_Q \rightarrow E_P$ such that

$$1) \lambda_P \circ \varphi = \lambda_Q$$

$$2) \varphi(\leq_Q) \subseteq \leq_P$$

SUBSUMPTION WITH BOXES



$P \sqsubseteq Q$ when there is a homomorphism from Q to P , i.e. a bijective map $\varphi: E_Q \rightarrow E_P$ such that

- 1) $\lambda_P \circ \varphi = \lambda_Q$
- 2) $\varphi(\leq_Q) \subseteq \leq_P$
- 3) $\varphi(\mathcal{B}_P) \subseteq \mathcal{B}_Q$

AXIOMATISATION

$$[[e]] = [e]$$

$$[1] = 1$$

$$[0] = 0$$

$$[e + f] = [e] + [f]$$

$$[e] \leq e$$

Claim

$$[[e]] = [[f]] \Leftrightarrow CKA + B \vdash e = f.$$

MUTUAL EXCLUSION (II)

```
print(counter);  
atomic{           || atomic{  
  x:=counter;     y:=counter;  
  x:=x+1;         y:=y+1;  
  counter:=x;     counter:=y;  
}                }  
print(counter);
```



Breaking mutual exclusion \leftrightarrow admitting an execution with the following "pattern":



POMSET LOGIC

$\varphi, \psi ::= \perp \mid a \mid \varphi \vee \psi \mid \varphi \wedge \psi \mid \varphi \blacktriangleright \psi \mid \varphi \star \psi \mid [\varphi] \mid \langle \varphi \rangle$

☞ $P \models \varphi \blacktriangleright \psi$ iff $\exists P_1, P_2$ such that $P \sqsupseteq P_1 \cdot P_2$ and $P_1 \models \varphi$ and $P_2 \models \psi$

☞ $P \models \varphi \star \psi$ iff $\exists P_1, P_2$ such that $P \sqsupseteq P_1 \parallel P_2$ and $P_1 \models \varphi$ and $P_2 \models \psi$

☞ $P \models [\varphi]$ iff $\exists Q$ such that $P \sqsupseteq [Q]$ and $Q \models \varphi$

☞ $P \models \langle \varphi \rangle$ iff $\exists P', Q$ such that $P \sqsupseteq P'$ and $P' \oplus Q$ and $Q \models \varphi$.

Theorem

$P \sqsupseteq Q \Leftrightarrow \forall \varphi, (P \models \varphi \Rightarrow Q \models \varphi).$

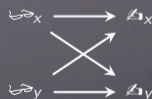
MUTUAL EXCLUSION (III)

```

        print(counter);
atomic{   |   atomic{
  x:=counter;   y:=counter;
  x:=x+1;       y:=y+1;
  counter:=x;   counter:=y;
}           |   }
        print(counter);
    
```



Breaking mutual exclusion \leftrightarrow admitting an execution with the following "pattern":



$$\leftrightarrow P \models ((\text{wavy}_x * \text{wavy}_y) \blacktriangleright (\text{square}_x * \text{square}_y))$$

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Can we do better?

LOGICS OF BEHAVIOUR

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e.g. Hennessy-Milner Logic, (Propositional) Dynamic Logic...

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- ☞ Pomset logic relies on an abstract notion of "behaviour" instead.

What kinds of properties of behaviours are interesting and/or tractable?

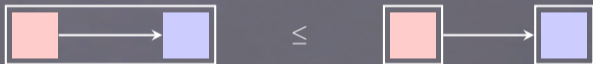
EXTENSIONS OF THE MODEL

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$$v = 1 \times v = 0$$

EXTENSIONS OF THE MODEL

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$$v = 1 \times v = 0$$

☞ Add data: Nominal algebras.

THAT'S ALL FOLKS!

Thank you!

See more at:

<http://paul.brunet-zamansky.fr>

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